

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: January 7, 2003

Signature: Michael D. Braunstein

(Michael D. Braunstein)

Docket No.: SONY 10-020

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,112

Filed: December 11, 2001

For: SYSTEM AND METHOD FOR CONDUCTING
SECURE TRANSACTION OVER A NETWORK

Commissioner for Patents
Washington, DC 20231

Group Art Unit: 2161

Examiner: Not Yet Assigned

RECEIVED
JAN 17 2003
GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

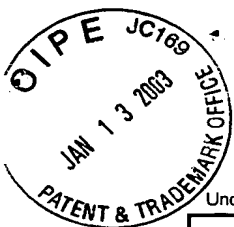
It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: January 7, 2003

Respectfully submitted,

By Michael D. Braunstein
Michael D. Braunstein
Registration No.: 51,248
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorneys for Applicant



PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/014,112
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	2161
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020

RECEIVED

JAN 17 2003

GROUP 3600

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	4,582,985	04-15-1986	Löfberg	
	AB	5,615,277	03-25-1997	Hoffman	
	AC	5,623,552	04-22-1997	Lane	
	AD	5,990,804	11-23-1999	Koyama	
	AE	6,002,787	12-14-1999	Takhar et al.	
	AF	6,119,096	09-12-2000	Mann et al.	
	AG	5,557,518	09-17-1996	Rosen	
	AH	5,761,308	06-02-1998	Torii et al.	
	AI	5,943,423	08-24-1999	Muftic	
	AJ	6,029,150	02-22-2000	Kravitz	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO-95/13591	05-18-95	Takhar		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Pack: "Traditional Retailers Plan Interactive Stores", Orlando Sentinel, May 25, 1995.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.